

Law Offices Of  
**FRASER MARTIN & MILLER LLC**  
Intellectual Property and Technology Law

Donald R. Fraser  
Richard G. Martin  
J. Douglas Miller

28366 Kensington Lane  
Perrysburg, Ohio 43551-4163  
Telephone: (419) 874-1100  
Facsimile: (419) 874-1130  
e-mail: [fraser@fraser-ip.com](mailto:fraser@fraser-ip.com)

Bradley T. Ligibel  
Michael E. Dockins\*

\*Also Admitted in Indiana

August 14, 2006

RECEIVED  
18 AUG 2006  
2006 Legal Staff  
International Division

PCT Legal Office  
Refund Division  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

VIA U.S. MAIL AND  
FACSIMILE 571-273-0459

Re: U.S. Patent Application Serial No. 10/585,671  
Based on International PCT Application No. PCT/EP2005/003180  
GAME FIGURE AND COLLECTOR'S ITEM  
(1-38343)

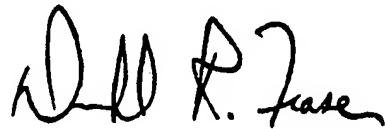
Dear Sir or Madam:

On July 7, 2006, a Transmittal Letter to the United States Designated Office Concerning a Submission Under 35 U.S.C. 371 was filed to enter into the U.S. based on the above entitled PCT International Application. A copy of the Transmittal Letter submitted is attached.

The fees for a large entity were charged to Deposit Account No. 50-3156 in the amount of \$900.00. The submission of the application under large entity was in error. The transmittal letter should have indicated a small entity status. We hereby request a refund to the Deposit Account No. 50-3156, under the name of Fraser Martin & Miller LLC, in the amount of \$450.00 under 37 C.F.R. §1.28.

Thank you for your attention to this matter.

Sincerely,



DRF/ms  
Enclosure

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY'S DOCKET NUMBER 1-38343</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2005/003180</b>	INTERNATIONAL FILING DATE <b>24 March 2005</b>	PRIORITY DATE <b>24 March 2004</b>
<b>TITLE OF INVENTION</b> <b>GAME FIGURE AND COLLECTOR'S ITEM</b>		
<b>APPLICANT(S) FOR DO/EO/US</b> <b>REMFERT, Hans-Ulrich</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))        a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).        b. <input type="checkbox"/> has been communicated by the International Bureau.        c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C.)        a. <input checked="" type="checkbox"/> is attached hereto.        b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))        a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).        b. <input type="checkbox"/> have been communicated by the International Bureau.        c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.        d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p>		
<p><b>Items 11 to 20 below concern document(s) or information</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/EP2005/003180		ATTORNEY'S DOCKET NUMBER 1-38343	
20. <input checked="" type="checkbox"/> Other items or information: International Search Report (English) International Search Report (German) Express Mail Certification Return Postcard				
The following fees are submitted:			CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) . . . . .			\$ \$300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) . . . . . \$0 All other situations . . . . . \$200			\$ \$200.00	
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority . . . . . \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB . . . . . \$400 All other situations . . . . . \$500			\$ \$400.00	
TOTAL OF 21, 22 and 23 =			\$ \$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	
20 - 100 =	0 /50 =	0	x \$250.00	\$ \$0.00
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). \$				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	11 - 20 =	0	x \$50.00	\$ \$0.00
Independent	1 - 3 =	0	x \$200.00	\$ \$0.00
Multiple Dependent Claims (if applicable) <input type="checkbox"/>			+ \$360.00	\$
TOTAL OF ABOVE CALCULATIONS =			\$ \$900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ \$0.00				
SUBTOTAL = \$ \$900.00				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). + \$				
TOTAL NATIONAL FEE = \$ \$900.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per + \$				
TOTAL FEES ENCLOSED = \$ \$900.00				
			Amount to be refunded:	\$
			Amount to be charged:	\$

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a.  A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge my Deposit Account 50-3156 in the amount of \$900.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 50-3156. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

43935



SIGNATURE

Donald R. Fraser

NAME

17,919  
REGISTRATION NUMBER